

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(F) & 1.27(C)—SMALL BUSINESS CONCERN

Docket No. 12606

Applicant or Patentee: Michael D. Myers et al.

Application Serial or Patent No.: 09/153,786

Filed or Issued: September 16, 1998

Title: DOCUMENT CONVERSION AND NETWORK DATABASE SYSTEM

I hereby declare that I am:

the owner of the small business concern identified below.  
 an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF SMALL BUSINESS CONCERN: Health Informatics International, Inc.

ADDRESS OF SMALL BUSINESS CONCERN: 4216 Katella Avenue  
Los Alamitos, California 90720

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that the rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

the specification filed herewith with title as listed above.  
 application identified above.  
 the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below.

no such person, concern, or organization exists.  
 each such person, concern or organization is listed below

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at time of paying, the earliest of the issue fee or any maintenance fee due after that date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Michael D. Myers

TITLE OF PERSON IF OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING 4216 Katella Avenue, Los Alamitos, California 90720

SIGNATURE Michael D. Myers

DATE 3/3/95

STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b)—INDEPENDENT INVENTOR

Docket No. 12606

Applicant or Patentee: Michael D. Myers et al.

Application Serial or Patent No.: 09/153,786

Filed or Issued: September 16, 1998

Title: DOCUMENT CONVERSION AND NETWORK DATABASE SYSTEM

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for the purposes of paying reduced fees to the Patent and Trademark Office described in:

the specification filed herewith with title as listed above.  
 application identified above.  
 the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

No such person, concern, or organization exists.  
 Each such person, concern or organization is listed below.

Health Informatics International, Inc.  
4216 Katella Avenue, Los Alamitos, California 90720

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at time of paying, the earliest of the issue fee or any maintenance fee due after that date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Michael D. Myers

NAME OF INVENTOR

Michael D. Myers

Signature of Inventor

3/3/98

Date

NAME OF INVENTOR

Signature of Inventor

Date

NAME OF INVENTOR

Signature of Inventor

Date

STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b)—INDEPENDENT INVENTOR

Docket No. 12606

Applicant or Patentee: Michael D. Myers et al.

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 application identified above.  
 the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

No such person, concern, or organization exists.  
 Each such person, concern or organization is listed below.

Health Informatics International, Inc.  
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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at time of paying, the earliest of the issue fee or any maintenance fee due after that date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Charles R. Christian  
NAME OF INVENTOR

Derrick K. Bennett  
NAME OF INVENTOR

Mario C. Murga  
NAME OF INVENTOR

  
Signature of Inventor

  
Signature of Inventor

  
Signature of Inventor

7-3-99  
Date

7-3-99  
Date

7-3-99  
Date

STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b)—INDEPENDENT INVENTOR

Docket No. 12606

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Application Serial or Patent No.: 09/153,786

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Title: DOCUMENT CONVERSION AND NETWORK DATABASE SYSTEM

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the specification filed herewith with title as listed above.

application identified above.

the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

No such person, concern, or organization exists.

Each such person, concern or organization is listed below.

Health Informatics International, Inc.  
4216 Katella Avenue, Los Alamitos, CA 90720

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at time of paying, the earliest of the issue fee or any maintenance fee due after that date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

Charles R. Christian

NAME OF INVENTOR

Derrick K. Bennett

NAME OF INVENTOR

Mario C. Murqa

NAME OF INVENTOR

Signature of Inventor

Signature of Inventor

Signature of Inventor

Date

Date

Date

3/6/99

DECLARATION  
Utility Application

DOCKET INFORMATION  
12606

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled DOCUMENT CONVERSION AND NETWORK DATABASE SYSTEM, the specification of which

Check One

is attached hereto.

was filed on September 16, 1998 as

Application Serial No. 09/153,786

and was amended on \_\_\_\_\_  
(if applicable)

RECEIVED  
U.S. PATENT AND  
TRADEMARK OFFICE  
SEP 16 1998

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Application Number	Country	Date of Filing	Priority Claimed Yes/ No/
None			

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Number	Date of Filing	Status — Patented, Pending or Abandoned
None		

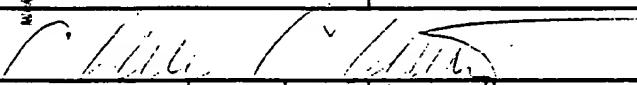
Direct all correspondence to:

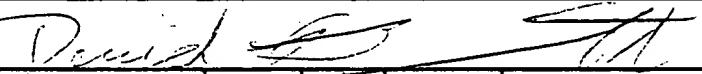
Stephen R. Seccombe  
Sheldon & Mak  
290 North "D" Street, Suite 503  
San Bernardino, CA 92401  
Tel.: (909) 889-3649      Fax: (909) 889-3649

Name of Sole or First Inventor				
Given Name (first and middle (if any))		Family Name or Surname		
Michael D.		Myers		
Inventor's Signature	<i>Michael D. Myers</i>			Date
Residence: City	Huntington Beach	State	CA	Country
Post Office Address	4216 Katella Avenue			
Post Office Address				
City	Los Alamitos	State	CA	Zip
				90720
				Country
				US

Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) attached hereto.

## ADDITIONAL INVENTOR SHEET

Name of Second Inventor							
Given Name (first and middle (if any))				Family Name or Surname			
Charles R.				Christian			
Inventor's Signature					Date	3-3-99	
Residence: City	Eagle Rock	State	CA	Country	US	Citizenship	US
Post Office Address	5001 Townsend Avenue						
Post Office Address							
City	Eagle Rock	State	CA	Zip	90041-2216	Country	US

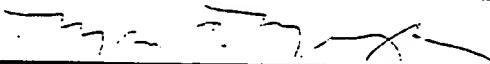
Name of Third Inventor							
Given Name (first and middle (if any))				Family Name or Surname			
Derrick K.				Bennett			
Inventor's Signature					Date	3-3-99	
Residence: City	Eagle Rock	State	CA	Country	US	Citizenship	US
Post Office Address	5001 Townsend Avenue						
Post Office Address							
City	Eagle Rock	State	CA	Zip	90041-2216	Country	US

Name of Fourth Inventor							
Given Name (first and middle (if any))				Family Name or Surname			
Mario C.				Murga			
Inventor's Signature					Date		
Residence: City	La Mirada	State	CA	Country	US	Citizenship	US
Post Office Address	16314 Fieldcrest Court						
Post Office Address							
City	La Mirada	State	CA	Zip	90638	Country	US

## ADDITIONAL INVENTOR SHEET

Name of Second Inventor							
Given Name (first and middle (if any))				Family Name or Surname			
Charles R.				Christian			
Inventor's Signature					Date		
Residence: City	Eagle Rock	State	CA	Country	US	Citizenship	US
Post Office Address	5001 Townsend Avenue						
Post Office Address							
City	Eagle Rock	State	CA	Zip	90041-2216	Country	US

Name of Third Inventor							
Given Name (first and middle (if any))				Family Name or Surname			
Derrick K.				Bennett			
Inventor's Signature					Date		
Residence: City	Eagle Rock	State	CA	Country	US	Citizenship	US
Post Office Address	5001 Townsend Avenue						
Post Office Address							
City	Eagle Rock	State	CA	Zip	90041-2216	Country	US

Name of Fourth Inventor							
Given Name (first and middle (if any))				Family Name or Surname			
Mario C.				Murga			
Inventor's Signature					Date	3/3/99	
Residence: City	La Mirada	State	CA	Country	US	Citizenship	US
Post Office Address	16314 Fieldcrest Court						
Post Office Address							
City	La Mirada	State	CA	Zip	90638	Country	US

# POWER OF ATTORNEY

DOCKET INFORMATION

12606

Health Informatics International, Inc., owner of three inventors' contributions to the application for United States Letters Patent for an improvement in DOCUMENT CONVERSION AND NETWORK DATABASE SYSTEM having Serial No. 09/153,786, filed September 16, 1998, by Michael D. Myers, Charles R. Christian, Derrick K. Bennett, and Mario C. Murga, and

Michael D. Myers, the remaining inventor, in his individual capacity

do hereby appoint as attorneys of record with full power of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Jeffrey G. Sheldon, Reg. No. 27,953; Stephen R. Seccombe, Reg. No. 31,136; Danton K. Mak, Reg. No. 31,695; and Denton L. Anderson, Reg. 30,153.

Send Correspondence to:	Sheldon & Mak 290 N. D St., Ste. 503 San Bernardino, CA 92401	Direct Telephone Calls to: Stephen R. Seccombe (909) 889-3649
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I, the undersigned, declare that in my individual capacity I am an owner of the above-identified application, and I am authorized to make this appointment on behalf of Health Informatics International, Inc., owner of the remainder of the application, and I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of Individual Owner Michael D. Myers	
Post Office Address 4216 Katella Avenue, Los Alamitos, California 90720	
Signature of Owner <i>Michael D. Myers</i>	Date 3/3/98

Full Name of Declarant If owner is corporation, partnership or association Michael D. Myers	
Title of Declarant President	
Address of Declarant 4216 Katella Avenue, Los Alamitos, California 90720	
Signature of Declarant <i>Michael D. Myers</i>	Date 3/3/98

## ASSIGNMENT

WHEREAS, We, Charles R. Christian, residing at 5001 Townsend Avenue, Eagle Rock, California 90041-2216, Derrick K. Bennett, residing at 5001 Townsend Avenue, Eagle Rock, California 90041-2216, and Mario C. Murga, residing at 16314 Fieldcrest Court, La Mirada, California 90638, have invented new and useful improvements disclosed in an application for United States Letters Patent titled DOCUMENT CONVERSION AND NETWORK DATABASE SYSTEM, filed September 16, 1998, as application Serial No. 09/153,786;

AND WHEREAS Health Informatics International, Inc., a corporation organized and existing under and by virtue of the laws of the State of California, having a principal place of business at 4216 Katella Avenue, Los Alamitos, California 90720 (hereafter, together with any successors, legal representatives or assigns thereof, called "Assignee") is desirous of acquiring the exclusive right, title, and interest in and to said invention, and in and to the Letters Patent granted and issued therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to Assignee our entire right, title and interest in and to said improvements, and said applications and all divisions, substitutions, continuations and continuations-in-part thereof, and all United States Letters Patents which may be granted thereon and all reissues and extensions thereof, and all priority rights under the International Convention for the Protections of Industrial Property for every member country, and all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore or hereafter filed for said improvements in any foreign countries, and all patents (including all extensions, renewals and reissues thereof) granted for said improvements in any foreign countries; and I hereby authorize and request the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said improvements to Assignee in accordance with the terms of this assignment;

AND WE HEREBY covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

AND WE HEREBY further covenant and agree that we will promptly communicate to Assignee any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuations-in-part, substitute and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee to obtain and enforce proper patent protection for said improvements in all countries as requested by Assignee, all reasonable expenses and reasonable compensation for time being reimbursed by Assignee.

1998.

IN TESTIMONY WHEREOF, I hereunto set my hand this 3 day of March,

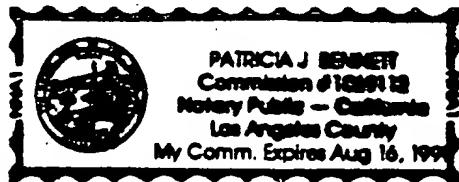
3-3-99

Date

Charles R. Christian

STATE OF CALIFORNIA )  
COUNTY OF Los Angeles )  
                          ) ss.

On 3 - 3 - 99, 1999, before the undersigned, a Notary Public for the State and County aforesaid, personally appeared Charles R. Christian, known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the above assignment, and acknowledged that he executed the same.



Patricia J. Bennett

Notary Public

1998.

IN TESTIMONY WHEREOF, I hereunto set my hand this 3 day of March,

3-3-99

Date

*Derrick K. Bennett*

Derrick K. Bennett

STATE OF CALIFORNIA )  
 ) ss.  
 COUNTY OF Los Angeles )

On 3 - 3, 1999, before the undersigned, a Notary Public for  
the State and County aforesaid, personally appeared Derrick K. Bennett, known to me or  
~~proved to me on the basis of satisfactory evidence to be the person whose name is~~  
subscribed to the above assignment, and acknowledged that he executed the same.

*Patricia J. Bennett*

Notary Public



IN TESTIMONY WHEREOF, I hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_,  
1998.

3/3/99 Mario C. Murga  
Date Maric C. Murga

STATE OF CALIFORNIA      )  
                                  ) ss.  
COUNTY OF LOS ANGELES )

On 3-3, 1999, before the undersigned, a Notary Public for  
the State and County aforesaid, personally appeared Mario C. Murga, known to me or proved  
to me on the basis of satisfactory evidence to be the person whose name is subscribed to the  
above assignment, and acknowledged that he executed the same.



Jennifer A. Reeves  
Notary Public



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JUNE 02, 1999

PTAS

SHELDON & MAK  
STEPHEN R. SECCOMBE  
290 NORTH "D" STREET, SUITE 503  
SAN BERNARDINO, CA 92401



\*100989028A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/12/1999

REEL/FRAME: 9820/0986

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHRISTIAN, CHARLES R.

DOC DATE: 03/03/1999

ASSIGNOR:

BENNETT, DERRICK K.

DOC DATE: 03/03/1999

ASSIGNOR:

MURGA, MARIO C.

DOC DATE: 03/03/1999

ASSIGNEE:

HEALTH INFORMATICS INTERNATIONAL,  
INC.

4216 KATELLA AVENUE  
LOS ALAMITOS, CALIFORNIA 90720

SERIAL NUMBER: 09153786

FILING DATE: 09/16/1998

PATENT NUMBER:

ISSUE DATE:

To the Honorable Commissioner of Patents and

100989028

inal documents or copy thereof

## 1. Name of conveying party(ies):

Charles R. Christian  
Derrick K. Bennett  
Mario C. Murga

Additional name(s) of conveying party(ies) attached?  Yes  No

## 3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date: March 3, 1999

## 2. Name and address of receiving party(ies):

Name: Health Informatics International, Inc.

Internal Address: \_\_\_\_\_

Street Address: 4216 Katella AvenueCity: Los Alamitos State: CA ZIP: 90720Additional numbers attached?  Yes  No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/153,786

B. Patent No.(s)

Additional numbers attached?  Yes  No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen R. SeccombeInternal Address: Sheldon & MakStreet Address: 290 North "D" Street,  
Suite 503City: San Bernardino State: CA ZIP: 924016. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$40.00 Enclosed Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

03/16/1999 CVERACHA 00000051 09153786

DO NOT USE THIS SPACE

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## 9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephen R. Seccombe  
Name of Person SigningStephen R. Seccombe  
Signature8 March 1999  
DateTotal number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks, Box Assignments

Washington, D.C. 20231